



CREST. Building trust in the digital world

# Complaints Handling Process

(Individuals)

v23.0 [Issued / 19.11.2025]

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# 1. Executive Summary

The professional and efficient handling of complaints is a critical factor for all organisations in any industry.

The procedure should be based on the principles of natural justice which means that:

- The process is fair, timely and confidential
- The outcomes are justified and based on evidence.

An effective complaints handling procedure will:

- Use language that is easy to understand
- Describe the types of issues and complaints to which the procedure applies
- Outline the options available to resolve complaints
- Explain how formal complaints will be handled and give examples of possible outcomes
- Include assurance around confidentiality and record keeping
- Provide an option to review a decision or recommendation
- State that there will be no victimisation or disadvantage conferred on the Complainant
- Be reviewed regularly for effectiveness.

As part of the company Accreditation and individual Certification processes, CREST requires a mandatory Code of Conduct to be signed. This Code of Conduct contains the basic principles that underpin good business practice and ethics. It describes the standards of practice and behaviour that are expected from member companies and individuals either holding a CREST qualification or seeking to attain or re-certify a CREST qualification and are enforceable by CREST if necessary.

The following CREST Complaints Handling Process outline the principles that CREST will follow and the measures that we will take to investigate a complaint and reach a conclusion that is both fair and accepted by both Parties.

## 2. Definitions

- 2.1. **“Accredited”** in the context of this Code of Conduct means a Member Company that has successfully completed a CREST audit of its quality processes, data handling procedures, technical methodologies and any other assessment criteria required by CREST for delivery of a Service Accredited by CREST. **“Accreditation”** shall be similarly construed.
- 2.2. **“Assessor”** means a person appointed by CREST International having appropriate skills and experience to support CREST Certification activities
- 2.3. **“Business Day”** means a day when normal commercial operations, including banks and government offices, are open in the relevant jurisdiction - typically but not exclusively Monday to Friday, excluding weekends and public holidays.
- 2.4. **“Certificant”** in the context of this Complaints Handling Process, means individuals that:
- (i) are booked to take a CREST examination; and/or
  - (ii) are in the process of taking a CREST examination; and/or
  - (iii) have taken a CREST examination; and/or
  - (iv) hold a CREST qualification, including any granted via an equivalency recognition scheme.

- 2.5. **“Certification”** means the action or process of providing an individual with a qualification at a level of achievement in a CREST Examination.
- 2.6. **“Client”** means either an organisation receiving Services from a CREST Member Company utilising Consultants where CREST has been referenced in tender or contractual documentation, or an organisation utilising CREST Qualified Individuals
- 2.7. **“Complainant”** means the person or entity, which may include CREST, making the complaint against a CREST Qualified Individual,.
- 2.8. **“Consultant”**, in the context of this Complaints Process, means a skilled person who meets the following criteria:
- i. the Member Company deems them to be appropriately qualified for the assignment they are involved, with which may or may not mean that they are a Certificant; and
  - ii. is providing specialist or expert advice and/or information and/or a Service to a Client of that CREST Member Company; and
  - iii. where that advice or information relates to the delivery of a Service for which the Member Company has been Accredited by CREST.
- 2.9. **“CREST”** means CREST International and any or all of its group of companies.
- 2.10. **“CREST Assessor”** means an individual appointed by CREST having appropriate skills and experience to support CREST Certification activities.
- 2.11. **“CREST Internal Staff”** means any permanent, employed person within CREST.
- 2.12. **“CREST Member Company”** or **“Member Company”** means a company who has passed the relevant CREST requirements, agreed to the CREST Code of Conduct and has paid any fees associated with membership.
- 2.13. **“CREST Qualified Individual”** means an individual with a current CREST qualification. For the avoidance of doubt, this includes all CREST Qualified Individuals recognised under an equivalency scheme such as, but not limited to, Offensive Security.
- 2.14. **“Individual”** in the context of this Complaints Handling Process, means either a Consultant or Certificant as context demands.
- 2.15. **“Member Company Application Form”** means the latest completed CREST Member Application Form and associated reference material reviewed and agreed by CREST. Any reports to the Member Company of minor compliance issues will also be considered as being part of the application.
- 2.16. **“Senior Management Team”** means the employed staff at CREST that comprise the management team.
- 2.17. A **“Region”** means a group of countries in a relevant geographical area as determined by CREST from time to time
- 2.18. **“Service”** in the context of this Code of Conduct includes, but is not limited to:
- i. Penetration Testing; and/or
  - ii. Threat-Led Penetration Testing; and/or
  - iii. Incident Response; and/or
  - iv. Incident Exercising; and/or
  - v. Threat Intelligence; and/or
  - vi. Security Operations Centres; and/or
  - vii. Security Architecture; and/or
  - viii. Vulnerability Assessment

## 3. Scope

The Processes below will be utilised for complaints received as follows:

- (i) Clauses 4 and 5: Complaints against a Consultant, which may include a Certificant, arising from a Client or potential Client of a Member Company, or directly from CREST.
- (ii) Clauses 6 and 7: Complaints from Certificants related to CREST examination processes and decisions, etc.

## 4. Principles governing complaints against a Consultant

- 4.1 Complaints will be investigated competently, diligently and impartially and assessed fairly, consistently and promptly at both the initial and final stages.
- 4.2 CREST aims to resolve complaints at the earliest opportunity and ensure Complainants are kept informed of the progress of their complaint. It is expected that most complaints should have been substantively addressed within eight weeks.
- 4.3 CREST undertake that no information revealed during an investigation conducted under this process will be made available to any third parties including International or Regional Council Members. Additionally, the detail of any recommendations will not be made available to any third parties including CREST International Council and Regional Council Members unless to comply with Clause 5.12 of this Process. For the avoidance of doubt and only where it deems such action necessary, CREST reserves the right to engage a CREST Assessor to support its investigation.
- 4.4 Complainants should attempt to resolve their issues directly with the Consultant or Certificant and, if appropriate, the Member Company, and should use the CREST Complaints Handling Process as a last resort and provided that reference to CREST has been made in connection with the complaint at any point.
- 4.5 CREST reserves the right to devolve investigation of any aspects of the complaint to the CREST Regional Council to which the Consultant or Certificant under investigation is attached. Additional Non-Disclosure Agreements will be put in place if necessary.
- 4.6 Neither the Consultant nor Certificant nor the Complainant will be victimised or disadvantaged during the process and thereafter. All Parties will treat each other in a professional manner with respect throughout the process.
- 4.7 Complainants should be aware that where legal proceedings are launched or pending, which may include Employment Tribunals, CREST is unlikely to be able to reach a final decision until such proceedings are concluded for risk of prejudicing either Party. In these circumstances, it may be possible for CREST to provide an interim “without prejudice” view if necessary if all Parties in the dispute are agreeable to such action.

## 5. Process for complaints against a Consultant

Complainants should, in the first instance, notify CREST of the general nature of their complaint or the incident. Such notification should be made via email to [governance@crest-approved.org](mailto:governance@crest-approved.org). On receipt of a potential complaint, CREST will register the relevant details and, based on the nature of the potential complaint or incident, will determine if any other individuals need to be involved in the investigation. The following procedure will then apply:

- 5.1. Acknowledgement of the receipt of the complaint will be sent to the Complainant within three business days.
- 5.2. The complaint will be investigated by the CREST Head of Governance & Legal and the CREST Head of Product. The investigation process will be overseen by CREST's Chief Product Officer. Other members of the Senior Management Team may be involved, depending on the nature of the complaint. Where relevant to the nature of the complaint, CREST reserves the right to involve a CREST Assessor to support its investigation.
- 5.3. CREST will decide if the documented Process to be applied is fit for purpose for the complaint received. Such decision will be based on the information provided by the Complainant. CREST reserves the right to develop an amended or bespoke process if the potential complaint warrants. Agreement from the Consultant or Certificant and the Complainant to any amended or bespoke process will be secured (see 5.5 below).
- 5.4. CREST will issue the Complainant with details of the complaint handling process and request that a formal complaint is provided in an agreed format. The Complainant will be advised that the Consultant or Certificant and, if appropriate, the Member Company, must also agree to the process to be applied. If appropriate or necessary, CREST will issue or sign a non-disclosure agreement with the all the parties in question.
- 5.5. In parallel, CREST will issue a complaint notification to the Consultant or Certificant, and if appropriate to the CREST Member Company, and seek their agreement to the process to be applied for investigating the complaint.
- 5.6. CREST will review the complaint against the Code of Conduct for Consultants or this Code of Conduct for Qualified Individuals as appropriate and, where applicable, against the CREST Non-Disclosure Agreement for Certificants and/or Member Companies, and the CREST Member Application Form.
- 5.7. CREST reserves the right to require access to the requisite evidence to support the investigation and where necessary to the appropriate personnel from the Member Company. Such access may take the form of a pre-arranged visit or remote interviews with personnel which would be supported by access to requisite documentation to be provided either in hard copy or by electronic means.
- 5.8. CREST will then issue an initial viewpoint report to the Consultant or Certificant.
- 5.9. On receipt of the CREST initial viewpoint report, the Consultant or Certificant will deliver a formal response to the report and potential allegations together with evidence of procedures and policies.
- 5.10. CREST will review the evidence and will, where appropriate, agree a set of actions and dates for the actions to be completed by and a review process to ensure the actions have been completed and issue a Recommendation Report in confirmation.

## Recommendation Review

- 5.11. Where necessary, CREST reserves the right to engage the Services of independently selected industry experts to review the recommendations. Industry experts will be selected based on their relevance, qualifications and impartiality and will be agreed by all parties (CREST, the Complainant, the Consultant or Certificant and, if appropriate, the CREST Member Company) in advance of their appointment. Where deemed necessary, a separate and mutually agreed NDA will be signed by all parties involved. In this circumstance, the following additional steps will be taken
- (i) Experts will consider the CREST recommendations and either confirm them or agree amendments to them with CREST.
  - (ii) CREST will issue a Recommendation Report to the Consultant or Certificant in question based on the experts' view of the recommendations.
  - (iii) Where appropriate, CREST may also issue a Recommendation Report, or extracts from it, to any CREST Member Company named in the complaint.
  - (iv) The Consultant or Certificant, and if appropriate the CREST Member Company, will be given the opportunity to respond.
  - (v) CREST will agree the recommendations with the Consultant or Certificant, and if applicable with any CREST Member Company involved.
  - (vi) CREST will issue a Summary Report to the Complainant outlining the agreed recommendations, any timeframe for their application and the process for ensuring their application.
  - (vii) The recommendations will be enacted and appropriate steps taken to ensure the recommendations are fully complied with.
- 5.12. CREST may only provide details to relevant CREST International Council and Regional Council Members where the recommendation is
- (i) that any CREST Qualified Individual's CREST qualification be revoked, and/or
  - (ii) that a Member Company be removed from membership of CREST, and/or
  - (iii) CREST becomes directly involved in legal action.
- In these circumstances, an additional and mutually agreed NDA specific to the complaint will be required to be signed by all members of the Councils.
- 5.13. CREST will then give formal notice to the Complainant when the complaint resolution process is concluded and seek their agreement to close the complaint.
- 5.14. CREST will advise the Consultant or Certificant and, if appropriate, the Member Company, when the complaint has been closed.
- 5.15. CREST reserves the right to advise appropriate third parties as necessary if a decision is taken to revoke a CREST qualification and/or suspend or remove a Member Company from CREST. This action will only be taken following discussions with the CREST Member Company. Third Party representatives may be requested to sign a specific NDA relating to the decision if necessary.

## Right of Appeal

- 5.16. If the recommendation is to revoke a CREST qualification from a Consultant or Certificant and/or suspend or remove a Member Company from membership of CREST, the Consultant or Certificant and the Member Company will have the right of appeal to the CREST International Council. The Senior Management Team involved in the original decision will not participate in such an appeal.

## 6. Principles governing complaints from Certificants

On receipt of a potential complaint relating to an examination or Certification process, action or decision, CREST will register the relevant details and, based on the nature of the potential complaint, will determine if any other individuals need to be involved in the investigation. The following principles and the process at Clause 7 will then be applied.

- 6.1 Complaints from Certificants will be investigated competently, diligently and impartially and assessed fairly, consistently and promptly.
- 6.2 CREST aims to resolve complaints at the earliest opportunity.
- 6.3 CREST reserves the right to require additional evidence from the Certificant to support the investigation.
- 6.4 Failure of a Certificant to co-operate during an investigation may result in the complaint being rejected by CREST.
- 6.5 CREST undertakes that no information revealed during an investigation into a complaint from a Certificant will be made available to International or Regional Council Members. For the avoidance of doubt, where a complaint relates to a technical issue experienced at a Pearson Vue test centre, information will be shared with Pearson Vue to allow the required information to be provided to CREST to fully assess the complaint
- 6.6 Where relevant to the nature of the complaint, CREST reserves the right to involve a CREST Assessor to support its investigation.
- 6.7 The detail of any recommendations will not be made available to any third parties including the CREST International Council and Regional Council Members.
- 6.8 If a Certificant is found to have made improper, false, vexatious or misleading statements or fails to disclose relevant information within their complaint, CREST reserves the right, in its sole discretion, to invoke sanctions against the Certificant which may include temporarily or permanently preventing the Certificant from being certified or recertified or another appropriate directive, regardless of whether their professional standing was previously good at the time of CREST's decision or action.

## 7. Process for complaints from Certificants

- 7.1 The complaint must be lodged with CREST within five business days of the event using the form on the website ([Contact Us - CREST](#)).
- 7.2 The Certificant must provide the following information:
  - (i) Nature of the complaint including relevant details;
  - (ii) Evidence to support the complaint (see clause 7.4)
- 7.3 CREST will acknowledge receipt of the complaint within three business days.
- 7.4 Certificants must ensure that they do not breach the non-disclosure agreement, signed at the point of taking a CREST examination, by divulging examination content within the detail of their complaint.
- 7.5 CREST will review the complaint.

- 7.6 If a complaint relates to an examination result, CREST reserves the right to engage an Assessor not previously associated with the examination attempt to review the result and, if deemed appropriate or relevant, to re-mark a Certificant's examination attempt. Where engaged, the Assessor may provide the Certificant with information that addresses any skills-gaps but that does not divulge the scoring criteria or breach the integrity of the examination. The decision over whether to provide such information rests solely with the Assessor and the candidate's proximity to a pass mark will be taken into consideration.
- 7.7 CREST aims to provide the Certificant with the outcome of their complaint within 20 Business Days. However, if a complaint involves a third party, CREST is unable to guarantee resolution within this timeframe but will keep the Certificant advised throughout that process.
- 7.8 The Certificant will have the opportunity to respond within a seven (7) Business Days or the complaint will be deemed closed.
- 7.9 If a Certificant remains dissatisfied with the decision, they have the right to appeal against it and should refer to the Certification Appeals Handling Procedure available on the CREST website. ([Governance - CREST](#))

## 8. Amendment List

This document has been amended in the areas described below:

a. Section reference b. Clause Reference c. Date Issued	Description of Changes	Authorised by	Version No. issued
a. Throughout b. c. 18.12.2023	Definitions (“CREST” & “Region”) clarified. Reference to Chapters replaced with Local Council	Elaine A Luck	21.0
a. N/A b. c.	Telephone number removed from back page	Elaine A Luck	22.0
a. Throughout b. c. October 2025	Complaints separated into two sections: against Consultants and from Certificants. Significant updates made throughout as a result, inc. reference to Councils adjusted to current terminology (ie. Regional)	Elaine A Luck	23.0
a. b. c.			
a. b. c.			



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